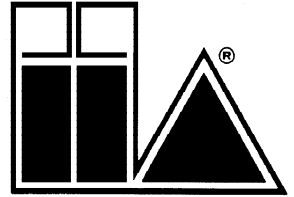


The Audit Standard



The Institute of Internal Auditors, Inc.

Dallas Chapter

September, 1999

THE PRESIDENT'S MESSAGE

While the Dallas temperatures soar, our chapter is warming up to a great year of fun as well as education! I look forward to all of us being together at our first chapter meeting Thursday, September 30 when we welcome Dale Hansen of Channel 8 to kick off the new year with "Challenges in Communication" for our luncheon program.

We are excited that Lynn Allsup, chairperson of our award winning research project "Soft Controls: A Dallas/Ft. Worth Perspective," will bring the 1998-99 committee members to present the results of the research in the September pre-meeting. We also welcome Lauren Oznick who will present "Effective Communication" in the post meeting time slot. These relevant topics in the September line-up are not to be missed.

Pull out your calendars now and mark the dates of the IIA continuing education offerings identified on page 3. There are well over 100 hours of professional development available through the Chapter in the next 9 months. Also, make plans now to gather your guests and attend the Murder Mystery Dinner Theater evening on October 28 at Pierre's by the Lake. The menu sounds delectable and the who-done-it will be intriguing, so consider making this an event for your entire staff.

If you haven't already registered for the Back to School Seminar on September 10 please do so today. The Academic Relations Committee has worked hard to make this a win-win event both for us and for the institutions of higher learning. If you aren't yet a Certified Internal Auditor, consider the CIA Review Seminars September 17-20 led by Dr. Glenn Summers of LSU and Roger McDaniel, President of Audit Services. This is a cost-effective way to prepare for the examination this 25th anniversary year of the CIA. Remember, registration for Dallas Chapter events is most efficient via the www.dallasiaa.org web site, and we can now download *The Audit Standard!*

The Board of Governors, the Officers, the Committee Chairpersons, and Committee Members have been working very hard to fill this last full year of the Millennium with education and entertainment for each of us. Join me in thanking these dedicated folks for their efforts. If you have ideas you would like to share or if you want to become involved, please contact one of us. See you in September!



Corinne Bryan
President

September Meeting

"Challenges in Communication" Dale Hansen, WFAA-TV Channel 8

Date: September 30, 1999

Location:

CityPlace Conference Center
Southeast Corner of Central
Expressway & Haskell Avenue

Time:

10:30 Pre Meeting
"Soft Controls: A Dallas/Ft. Worth
Perspective"
Speaker: 1998-99 Research Project
Committee Members
11:30 Lunch Registration
12:00 Lunch Presentation
"Challenges in Communication"
Speaker: Dale Hansen
1:30 Post Meeting
"Effective Communications"
Speaker: Lauren Oznick

Reservations

Preferred Method

The best and most cost effective method to confirm your reservations is via the web site at www.dallasiaa.org.

Secondary Method

Optional methods is to use the telephone, fax, or email. However, a \$2 phone/fax/E-mail fee will be assessed to each reservation.

Contacts

Sharon Beard

e-mail: sbear2@jcpenny.com

Lori Cannafax

e-mail: lcann3@jcpenny.com

Phone Reservations: 972.431.DIIA or 972.431.3442

Fax Reservations: 972.431.7195

Luncheon Fees:

Members: \$23
Non-Members: \$30
Students: \$15
Phone/Fax Fee: \$ 2

**Reservations must be received by noon on Friday,
September 24 or a \$7 late fee will be charged.**

1999-2000 Officers

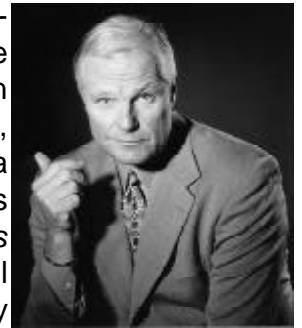
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 Larry Rex, CFE 214.922.5521
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 Dace Ward 972.605.6128
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 Vickie Lyng, CIA,CPA 214.969.9733
Pre/Post Meetings
 Magdalena Kovats 214.777.7426
Programs
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Research Project
 Ruth Burton 972.652.5240
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 David Hallo, CPA, CISA 214.812.4990
Reservations
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 Lori Cannafax 972.431.7155
Seminars
 Pamela Soliz, CPA 214.754.5084
Social
 Jack Evans 972.341.4143
Speakers Bureau
 Dace Ward 972.605.6128
Strategic Planning
 Anna Nicodemus, CIA,CPA, CFE 972.605.3590

Lunch Program

Dale Hansen is the week-night sports anchor during the 5, 6, and 10 p.m. newscasts on WFAA-TV/Channel 8 in Dallas, Texas. Mr. Hansen is also a sports director at WFAA, and is the host of "Dale Hansen's Sports Special," a special program which airs Sunday evenings.



Throughout his career, Hansen has garnered many awards and honors including:

- The George Foster Peabody Award for Distinguished Journalism
- Dupont-Columbia Award
- Sportscaster of the Year Award by the Associated Press
- Texas Sportscaster of the Year by the National Sportscaster and Sportswriters Association
- Best Sportscaster by United Press International and the Dallas Press Club
- Television Personality of the Year by the American Women in Radio and Television

Mr. Hansen began his career as a radio disc jockey and operations manager in Newton, Iowa. This was followed by a job as a sports reporter at KMTV in Omaha, Nebraska. He won the Iowa Associated Press award for Best Investigative Reporter in 1976. Hansen's first job in Dallas was with KDFW-TV/Channel 4. He joined WFAA-TV in 1983.

Did you win an award last year, but have not had a chance to claim it? If so, please see Regina Anderson at the September 30 meeting or call her at 972.702.6713.

The Audit Standard communicates upcoming events, meeting dates, and IIA information to the Dallas Chapter members. We are open to any ideas or information you want to share. If you want to have your information input into the newsletter, please contact Rhonda McClinton at 214.999.2561 or e-mail her at rhonda.mcclinton@us.pwcglobal.com.

Meeting Dates	Newsletter Submission Deadline
October 20	September 15
November 16	October 11
December 15	November 10
January 20	December 13
February 24	January 17
March 16	February 11
April 27	March 24
May 25	April 14

**IIA DALLAS CHAPTER PROGRAMS, SEMINARS,
AND MEETINGS
1999-2000**

Date	Pre-Meeting 10:30-11:30 AM	Program 12:00-1:30 PM	Post Meeting 1:30-2:30 PM	Seminars
September 30	Soft Controls: A Dallas/Ft. Worth Perspective 1998-99 Research Committee	Challenges in Communication Dale Hansen Channel 8	Effective Communications Lauren Oznick Dallas County Community College District	Back to School Seminar September 10, 1999
October 20	International Ethics Joel Aguilar Alcatel USA	Ethics for Internal Auditing Nita Clyde	Code of Conduct Pamela Soliz PricewaterhouseCoopers	Ethics Nita Clyde 1:30 - 5:00
November 15	A. 9:30-10:30 Empowerment Penny Laughlin JCPenney B. 10:30-11:30 Conflict Resolution TBD	Laughing at the Face of Danger Austin Davis Davis Seminars		8:30-11:30 Forensic Documentation & Handwriting Analysis Linda Collins Advanced Document Analysis 1:30-4:15 Corporate Travel Security & Managing Stress Austin Davis Davis Seminars
December 15	Industry Round Tables * Government/Government Agencies * Retail * Energy	Economic Outlook for the Year 2000 Dr. Bernard Weinstein University of North Texas	Industry Round Tables * Financial Services * Healthcare * Technology	
January 20	Cryptography Ralph Moore Ernst & Young	Y2K - What Have We Learned? Frank Vukovits GTE	The Auditor's Role in ERP Implementation Lane Lambert Deloitte & Touche	Electronic Espionage CANAudit 8:30 - 5:00
February 24	Need Cash? Streamline Your AP Function Ashlee Aldrige Deloitte & Touche	Open Records Law and How It Can Affect Internal Audit Sgt. Ken Haben Dallas Police Department	Project Management Update Assif Effendi EDS	Fraud Seminar Courtenay Thompson 8:30 - 5:00
March 16	TBD	Firewall Security Heather Smart PricewaterhouseCoopers	2nd Annual Student Forum Academic Relations Committee	
April 27	ACL Rusalyn Kosofsky Texas Utilities	Technology and Internal Audit: Making the Most of Technology Lorraine Sullivan JCPenney	Electronic Workpapers Forum The Associates Deloitte & Touche JCPenney	Auditing Automated Business Applications MIS Training Institute April 27 & 28
May 25	Updating Your CSA Approach John Morgan Deloitte & Touche	New Strategies and Best Practices in Internal Audit IIA District I Representative John Markham	Reception for Chapter Committee Members	

ö Meetings are held at CityPlace except the Back to School Seminar which is at the JCPenney Park Central Building.

Reservation Fax Sheet

IIA Transmittal Form Page 1 of 1

To: Sharon Beard/Lori Cannafax
Fax #: 972.431.7195
Re: IIA Reservations

Pre Meeting at 10:30 a.m.
Luncheon Meeting at 12:00 noon
Post Meeting at 1:30 p.m.

Luncheon Fees

Members: \$23
Late Reservations for Members,
Non-Members, and walk-ins: \$30
Students: \$15
Additional fee for phone/fax/E-mail \$ 2

Company: _____

Contact Person: _____

Phone: _____

Fax: _____

E-mail: _____

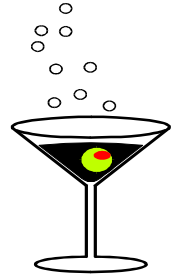
Meeting Date: Thursday, September 30, 1999

Name	Certification(s)	Pre	Post	Lunch	Member (Yes/No)
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Note: Reservations must be received by noon on Friday, September 24.
Any reservations received after this will be assessed a \$7 late fee.



The Dallas Chapter of IIA Presents: A Murder Mystery Dinner Theater Evening



- Date:** Thursday, October 28, 1999
- Time:** Hospitality Hour, 6:00 to 7:00 p.m. (cash bar, 1 drink coupon will be provided for each attendee). Dinner begins at 7:00p.m. The evening wraps up at 9:00 p.m.
- Place:** Pierre's By The Lake, 3430 Shorecrest, Dallas (south side of Bachman Lake)
- Theme:** Special Halloween / X-Files / Vampires plot, or Austin Powers (based on availability of actors)
- Menu:** Fresh Baked Salmon Steak in Bernaise Sauce or Prime New York Sirloin Strip
- Dress:** Business casual
- Cost:** Members + 1 Guest - \$25 each
Non-members and additional guests - \$45

Register: Preferred method:
www.dallasiia.org
Secondary methods:
fax: 972.341.4973
e-mail: jack.evans@banctec.com
Mail to:
Jack Evans
BancTec
4851 LBJ Freeway, 11th Floor
Dallas, TX 75227

From Irving/Las Colinas:

Take O'Connor Blvd. north to Northwest Highway. Turn right onto Northwest Highway and go approximately 5 miles. Turn right on Webb Chapel Rd. Turn right onto Shorecrest Drive.

From Downtown Dallas:

Take I35 North towards Denton. Take the Harry Hines Blvd. exit (approximately 6 miles from downtown). Merge onto Harry Hines northbound, and take a slight right (very quick) onto Webb Chapel Ext. Turn right onto Northwest Highway. Turn right onto Webb Chapel Rd. Turn right onto Shorecrest Drive.

From North Dallas/Plano:

Take the Dallas North Tollway south from LBJ freeway. Take the Loop 12/Northwest Highway exit, turning right (west). After traveling approximately 3 miles, turn left (south) on Webb Chapel. Turn right onto Shorecrest Drive.

Questions? Call Jack Evans at 972.341.4143

- Member
 Non-member / guest

Name(s): _____

Employer: _____

Telephone: _____

Deadline:

Registrations and payments must be received by September 21, 1999. Refunds? You may cancel with refund until Thursday, October 14, 1999.



Entertainment presented by Keith & Margo's MURDER MYSTERIES OF TEXAS

SEPTEMBER PRE/POST-MEETING

SOFT CONTROLS: A Dallas/Fort Worth Perspective

Based in professional literature, soft controls are vital to the internal control environment and include:

- People's integrity and ethical values
- Organizational commitment to competence
- Management's philosophy and operating style
- Understanding and management of risk
- Communication

This is a presentation of the award winning 1999 Dallas Chapter research project on the subject of soft controls. Come hear how audit departments in the Dallas/Fort Worth Area define soft controls, use different tools to audit soft controls, report soft controls, and more.

Presented by: Lynn Allsup, CPA, CFSA, MBA, Winterthur Swiss Insurance; Mary Beth Goodrich, CPA, CIA, MBA, The Associates; Nancy Hollis, CPA, CMA, MBA, The Associates; and Steve Lantrip, CISA, AAFES.

Effective Communications

Lauren L. Oznick, M.S. is an energetic, effective and valuable mentor. These words are used by her contemporaries, superiors and students to describe her. Ms. Oznick received her Master's degree in communication and Public Address from the University of North Texas and completed her undergraduate degree in Communication at Arizona State University. In addition to educational accomplishments, Ms. Oznick has extensive experience in adult education and corporate training. She has provided adult education at various institutions in the Dallas/Ft. Worth metroplex including Devry Institute of Technology, Tarrant County Junior College, and currently teaches for the Dallas County Community College District. Her corporate training experience includes both inside and outside knowledge of companies such as Color Tile, Inc., and Team Bank (now Bank One). Ms. Oznick's expertise in communication has focused on public presentation, persuasion and corporate coaching and teamwork. She has also presented workshops for the Women Entrepreneur's Association of Dallas two years consecutively.

IIA Research Foundation

Dave Hallo maintains the chapter library of Research Foundation material. It includes research reports, monographs, and research papers. The purpose of the library is to promote the sale of these publications that in turn will support the funding of future research projects. If you would like to know if the Research Foundation has a publication on a particular topic, please call 214.812.4990 or e-mail him at dhallol@txu.com.

Membership Categories and Dues Restructuring

During its June meetings in Quebec City, the Board of Directors approved a simplified flat-rate membership structure, increased application fees from \$15 to \$25, and established a new tiered-rate structure for audit groups and sustaining organizations. The changes in membership structure eliminate the "Associate" and "Nonpracticing" categories and "Staff" classification of the "Regular Members" category. Members within these categories will be given the opportunity to select their new classification.

The new dues structure will be effective September 1, 1999, enabling The IIA to offer specialty services, more information on issues, and greater Internet resources. The Audit Group Membership Program, which recognizes the budgetary limitations of small audit shops, enables organizations to join The IIA with as few as five auditors.

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• *Do you have a fraud story that you would like to share with your fellow internal auditors? Have you ever thought about writing an article for publication? If so, send your articles to Pam Mann, Editorial Committee, at pamela.mann@dal.frb.org. The Editorial Committee will submit them to IIA headquarters.*
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CIA Review Course

The CIA exam is scheduled for November 17-18, 1999. By popular demand, the Chapter will once again sponsor an interactive CIA review course this Fall to help prepare candidates. This four day course will be taught by Dr. Glenn Sumners, Director of the Center for Internal Auditing at LSU, and Roger McDaniel, President of Audit Services based in Franklin, Tennessee. Over 1,500 pages of comprehensive study material will be provided for the four-part course.

The course will be taught September 17th-20th (*Friday-Monday*) according to the following schedule:

September 17, 1999: **Part I** - Internal Audit Process

September 18, 1999: **Part II** - Internal Audit Skills

September 19, 1999: **Part III** - Management Control & Information Technology

September 20, 1999: **Part IV** - The Audit Environment

Dr. Sumners will teach parts I & II, and Roger McDaniel will teach parts III & IV. Eight hours of continuing professional education (CPE) will be given for each full day session. The course will be taught in the bottom floor in Room 2 of the JCPenney low rise building near Coit Road and I-635. See the detailed directions in the August newsletter.

Cost for the course is \$75 for each part. To register, go to the Chapter Web page at www.dallasiaa.org, click on the *CIA Review Course* icon and complete the registration form. Register soon as seating is limited. If you do not have Internet access, or if you need additional information, please contact:

David Jarnagin

phone: 972.477.8789

E-mail: david.d.jarnagin@usa.alcatel.com

Senior IS Auditor – Fort Worth, Texas

Texas-New Mexico Power Company, a leader in introducing plans for competition for electric power customers, has an immediate opening for a Senior Information Systems Auditor at our Fort Worth headquarters. We are looking for a highly motivated individual who can be a consultant to management, work well in a team environment or independently. Key responsibilities include:

- centralized and departmental computer systems
- network communications
- data center operations
- systems development projects for the purpose of determining whether risks are being managed appropriately, controls are adequate, and potential efficiencies are identified
- develop risk assessments, audit programs and automated tools.
- Oracle Financial Accounting System, SCT Banner CIS, LAN/WAN, engineering systems, and departmental systems. OS/390, HP-UX, and MS-NT.

The successful candidate should have CISA certification. The position requires critical thinking, excellent analytical and communication skills, and proficient computer skills. This position requires less than 5% travel. Check our web site at www.tnpe.com.

To receive prompt consideration send your resume to:
e-mail: gjones@tnpe.com

fax: 817.377.5589

mail: Texas New Mexico Power, Attn.: Gary Jones, 4100 International Plaza, Fort Worth, Texas 76109

Senior Financial & I/S Auditors

Lennox International, a leading global manufacturer and marketer of HVAC products based in Richardson, is seeking one/two year experienced and highly motivated professionals to join our centralized Internal Audit team to add value to our organization. These positions have a history of leading to other financial management opportunities.

Interested candidates:

Fax:

972.497.5015

Mail resume and salary requirements to:

Lennox International Inc.

2100 Lake Park Blvd 7T

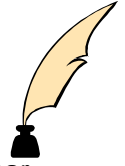
Richardson, TX 75080

Attn: Internal Audit.

Board of Governors

Joel Aguilar, CIA, CPA, CISA	972.477.8995
Kathryn Barton, CIA, CPA, CISA	214.777.3661
Al Bazis, CPA	972.403.1131
David Brown, CPA	972.308.1337
Ray Clay, CPA	940.565.3093
Al Davis, CIA, CPA	214.792.4385
Gary Jones, CIA, CPA, CBA	817.377.5567
David Kosofsky, CIA, CPA, CMA, CISA	972.341.4721
Vickie Lyng, CIA, CPA	214.969.9733
Dennis McGuffie, CPA	972.789.2767
Robert Melton, CIA, CPA	214.670.3223
Eugene Miller, CIA	214.312.3101
Sharon Mogford, CPA, CIA	214.749.3115
Anna Nicodemus, CIA, CPA, CFE	972.605.3590
Mark Salamasic, CISA, CDP, CSP	214.209.9898
Aaron Saylor, CIA, CFE	214.812.4091
Wendy Smith, CIA	972.917.6963
John Webber	972.751.5292
John Wertz	972.391.3220
Wayne Witemeyer, CIA	972.801.2923

Dates To Remember:



- Sept 10: Back to School Seminar
- Sept 15: October Newsletter Deadline
- Sept 17-20: CIA Review Course
- Sept 24: Resevation Deadline
- Sept 30: IIA Meeting

Special Note:

Do you want your Newsletter sent to a different address? Have you changed your name or obtained a new certification? Please call 407.830.7600, extension 1, or send it via the web site, www.theiia.org/membership to update your records.



The Institute of Internal Auditors

Terry Eaton
Audit Department
Texas Utilities Company
1601 Bryan Street, #44-026
Dallas, TX 75201